

| QUERY CONTROL FORM               |                                | RTIS USE ONLY                   |  |
|----------------------------------|--------------------------------|---------------------------------|--|
| Application No. <u>D91514119</u> | Prepared by <u>A. Connolly</u> | Tracking Number <u>06003844</u> |  |
| Examiner-GAU <u>Sheikh 2131</u>  | Date <u>9/24/04</u>            | Week Date <u>8/30/04</u>        |  |
|                                  | No. of queries <u>1</u>        | <u>IFW (E)</u>                  |  |

| JACKET               |                        |                    |                |
|----------------------|------------------------|--------------------|----------------|
| a. Serial No.        | f. Foreign Priority    | k. Print Claim(s)  | p. PTO-1449    |
| b. Applicant(s)      | g. Disclaimer          | l. Print Fig.      | q. PTOL-85b    |
| c. Continuing Data   | h. Microfiche Appendix | m. Searched Column | r. Abstract    |
| d. PCT               | i. Title               | n. PTO-270/328     | s. Sheets/Figs |
| e. Domestic Priority | j. Claims Allowed      | o. PTO-892         | t. Other       |

| SPECIFICATION          |  |
|------------------------|--|
| a. Page Missing        |  |
| b. Text Continuity     |  |
| c. Holes through Data  |  |
| d. Other Missing Text  |  |
| e. Illegible Text      |  |
| f. Duplicate Text      |  |
| g. Brief Description   |  |
| h. Sequence Listing    |  |
| i. Appendix            |  |
| j. Amendments          |  |
| k. Other               |  |
| CLAIMS                 |  |
| a. Claim(s) Missing    |  |
| b. Improper Dependency |  |
| c. Duplicate Numbers   |  |
| d. Incorrect Numbering |  |
| e. Index Disagrees     |  |
| f. Punctuation         |  |
| g. Amendments          |  |
| h. Bracketing          |  |
| i. Missing Text        |  |
| j. Duplicate Text      |  |
| k. Other               |  |

MESSAGE PTO-892 and PTO-1449  
is missing.

NO 1449 submitted  
 see attached page

Thanks  
 initials ARC

RESPONSE No 1449 submitted, see attached  
page.

Another copy of PTO-892 is provided.

Don Chin  
 initials K.A

02-29-00

PTO/SB/05 (12/97)

Please type a plus sign (+) inside this box → ☐Approved for use through 09/30/00. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|  |  |
|--|--|
| Attorney Docket No.                            | 62004-1330                                 |
| First Named Inventor or Application Identifier | Phyllis A. Schneck, et al.                 |
| Title  | Adaptive Data Security Systems and Methods |
| Express Mail Label No.                         | EL529721674US                              |

**APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages **57**]  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R&D  
- Reference to Microfiche Appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC d113) [Total Sheets **11**]
4. Oath or Declaration [Total Pages ☐a. ☐ Newly Executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR §1 63(d))  
(for continuation/divisional with Box 17 completed)  
**(Note Box 5 below)**  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application,  
see 37 CFR §1 63(d)(2) and 1 33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of  
the oath or declaration is supplied under Box 4b, is considered as  
being part of the disclosure of the accompanying application and is  
hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Copy  
b. ☐ Paper Copy (identical to computer copy)  
c. ☐ Statement verifying identical of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☐ Assignment Papers (cover sheet & Documents(s))
9. ☐ 37 CFR §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☐ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application  
Status still proper and desired  
(PTO/SB/09-12)
15. ☐ Certified Copy of Priority Document(s)  
if foreign priority is claimed
16. ☐ Other

\*A new statement is required to be entitled to pay small entity fees, except  
where one has been filed in a prior application and is being relied upon.

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: /  
Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_
**18. CORRESPONDENCE ADDRESS**
☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

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| Signature         | <i>David R. Risley</i> | Date                              | 2/28/00 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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